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Attorney Docket No: U016 (BPAY.0050000)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Robinson et al.

Serial No.: 10/840,239

Examiner: To Be Assigned

Confirmation No.: 7593

Art Unit: 2621

Filed: 5/7/2004

For: A SYSTEM AND METHOD FOR UPGRADING BIOMETRIC DATA

Commissioner for Patents
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.


- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
 - ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
 - ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. ___ in the amount of \$___ for the total fee is attached.
- ☐ Authorization to charge a credit card for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.

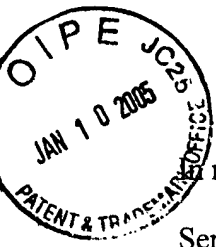
Respectfully submitted,

Dated: 1/10/05

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By:


Duane S. Kobayashi
Reg. No. 41122



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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- ☐ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08A is enclosed.
- ☒ Pursuant to the U.S. Patent and Trademark Office's waiver of 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003, no copies of U.S. patents and patent applications cited in the attached Form PTO/SB/08 are being provided.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. and mailed on ___.
- ☐ Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) ___. English language publication ___ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) ___ for which an English translation is not available.

- ☐ Enclosed is an English translation of non-English publication(s) ___ cited in the attached Form PTO/SB/08A.
- ☐ The Examiner's attention is directed to co-pending patent Application Serial No. _____, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application..

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Respectfully submitted,

Dated: _____

11/10/05

By: _____



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Please type a plus sign (+) inside this box → ☐

PTO/SB/08A (08-00)

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/840,239
				Filing Date	5/7/2004
				First Named Inventor	ROBINSON ET AL.
				Group Art Unit	2621
				Examiner Name	To Be Assigned
Sheet	1	of	1	Attorney Docket Number	BPAY.0050000

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	A1	WO 91/08555		Brotherston et al.	06/1995
	A2	20040013288		Svensson, Peter; et al.	01/2004
	A3	20030126448		Russo, Anthony P.	07/2003
	A4	20030068072		Hamid, Laurence	04/2003
	A5	20020154793		Hillhouse, Robert ; et al.	10/2002
	A6	20020012455		Benckert, Henrik	01/2002
	A7	20010019636		Slatter, David Neil	09/2001
	A8	6,681,034		Russo	01/2004
	A9	6,657,667		Anderson	12/2003
	A10	6,611,629		Bender, et al.	08/2003
	A11	6,560,741		Gerety, et al.	05/2003
	A12	6,597,802		Bolle, et al.	07/2003
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	A14	6,535,622		Russo, et al.	03/2003
	A15	6,160,903		Hamid, et al.	12/2000
	A16	6,151,420		Wober, et al.	11/2000
	A17	6,133,943		Needham	10/2000
	A18	6,097,035		Belongie, et al.	08/2000
	A19	5,963,656		Bolle, et al.	10/1999
	A20	5,650,842		Maase, et al.	07/1997

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)			

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.